Introduction to QCD and Jet II

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1 Collinear Factorization and DGLAP equation

• Transverse Momentum Dependent (TMD or k_t) Factorization

Introduction to Small-x Physics

• BFKL evolution and Balitsky-Kovchegov evolution equations

• McLerran-Venugopalan Model

Dihadron Correlations

- Breaking down of the k_t factorization in di-jet production
- Probing two fundamental gluon distributions
- Gluon+Jet in pA



Deep inelastic scattering and Drell-Yan process





Light Cone coordinates and gauge

For a relativistic hadron moving in the +z direction



• In this frame, the momenta are defined

$$P^+ = \frac{1}{\sqrt{2}}(P^0 + P^3)$$
 and $P^- = \frac{1}{\sqrt{2}}(P^0 - P^3) \to 0$

• $P^2 = 2P^+P^- - P_{\perp}^2$

• Light cone gauge for a gluon with momentum $k^{\mu} = (k^+, k^-, k_{\perp})$, the polarization vector reads

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Deep inelastic scattering

Summary of DIS:



$$\frac{\mathrm{d}\sigma}{\mathrm{d}E'\mathrm{d}\Omega} = \frac{\alpha_{\mathrm{em}^2}}{Q^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$
with $L_{\mu\nu}$ the leptonic tensor and $W^{\mu\nu}$ defined as
$$W^{\mu\nu} = \left(-g^{\mu\nu} + \frac{q_{\mu}q_{\nu}}{q^2}\right) W_1$$

$$+ \frac{1}{m_p^2} \left(P^{\mu} - \frac{P \cdot q}{q^2} q^{\mu}\right) \left(P^{\nu} - \frac{P \cdot q}{q^2} q^{\nu}\right) W_2$$

Introduce the dimensionless structure function:

$$F_1 \equiv W_1$$
 and $F_2 \equiv \frac{Q^2}{2m_p x} W_2$

$$\Rightarrow \frac{d\sigma}{dxdy} = \frac{\alpha_{em}^2 4\pi s}{Q^4} \left[(1-y)F_2 + xy^2 F_1 \right] \text{ with } y = \frac{P \cdot q}{P \cdot k}$$

Quark Parton Model: Callan-Gross relation

$$F_2(x) = 2xF_1(x) = \sum_q e_q^2 x [f_q(x) + f_{\bar{q}}(x)].$$

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Callan-Gross relation



• The relation ($F_L = F_2 - 2xF_1$) follows from the fact that a spin- $\frac{1}{2}$ quark cannot absorb a longitudinally polarized vector boson.

• In contrast, spin-0 quark cannot absorb transverse bosons and so would give $F_1 \equiv 0$. $\langle \Xi \rangle$ $\langle \Xi \rangle$

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Parton Density

The probabilistic interpretation of the parton density.



Comments:

• Gauge link \mathcal{L} is necessary to make the parton density gauge invariant.

$$\mathcal{L}(0,\zeta^{-}) = \mathcal{P}\exp\left(\int_{0}^{\zeta^{-}} \mathrm{d}s_{\mu}A^{\mu}\right)$$

- Choose light cone gauge $A^+ = 0$ and right path, one can eliminate the gauge link.
- Now we can interpret $f_q(x)$ as parton density in the light cone frame.
- Evolution of parton density: Change of resolution



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• At low-x, dominant channels are different.

Drell-Yan process

For lepton pair productions in hadron-hadron collisions:



the cross section is

$$\frac{d\sigma}{dM^2 dY} = \sum_{q} x_1 f_q(x_1) x_2 f_{\bar{q}}(x_2) \frac{1}{3} e_q^2 \frac{4\pi\alpha^2}{3M^4} \quad \text{with} \quad Y = \frac{1}{2} \ln \frac{x_1}{x_2}.$$

- Collinear factorization proof shows that $f_q(x)$ involved in DIS and Drell-Yan process are the same.
- At low-x and high energy, the dominant channel is $qg \rightarrow q\gamma^*(l^+l^-)$.



Splitting function



$$\begin{aligned} \mathcal{P}_{qq}^{0}(\xi) &= \frac{1+\xi^{2}}{(1-\xi)_{+}} + \frac{3}{2}\delta(1-\xi), \\ \mathcal{P}_{gq}^{0}(\xi) &= \frac{1}{\xi} \left[1+(1-\xi)^{2} \right], \\ \mathcal{P}_{qg}^{0}(\xi) &= \left[(1-\xi)^{2}+\xi^{2} \right], \\ \mathcal{P}_{gg}^{0}(\xi) &= 2 \left[\frac{\xi}{(1-\xi)_{+}} + \frac{1-\xi}{\xi} + \xi(1-\xi) \right] + \left(\frac{11}{6} - \frac{2N_{f}T_{R}}{3N_{c}} \right) \delta(1-\xi). \end{aligned}$$

•
$$\xi = z = \frac{x}{y}$$
.
• $\int_0^1 \frac{d\xi f(\xi)}{(1-\xi)_+} = \int_0^1 \frac{d\xi [f(\xi) - f(1)]}{1-\xi} \Rightarrow \int_0^1 \frac{d\xi}{(1-\xi)_+} = 0$

Derivation of $\mathcal{P}_{qq}^0(\xi)$

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The real contribution:

$$k_{1} = (P^{+}, 0, 0_{\perp}) \quad ; \quad k_{2} = (\xi P^{+}, \frac{k_{\perp}^{2}}{\xi P^{+}}, k_{\perp})$$
$$k_{3} = ((1 - \xi)P^{+}, \frac{k_{\perp}^{2}}{(1 - \xi)P^{+}}, -k_{\perp}) \quad \epsilon_{3} = (0, -\frac{2k_{\perp} \cdot \epsilon_{\perp}^{(3)}}{(1 - \xi)P^{+}}, \epsilon_{\perp}^{(3)})$$

$$\begin{aligned} |V_{q \to qg}|^2 &= \frac{1}{2} \text{Tr} \left(\not{k}_2 \gamma_\mu \not{k}_1 \gamma_\nu \right) \sum \epsilon_3^{*\mu} \epsilon_3^\nu = \frac{2k_\perp^2}{\xi(1-\xi)} \frac{1+\xi^2}{1-\xi} \\ \Rightarrow \qquad \mathcal{P}_{qq}(\xi) &= \frac{1+\xi^2}{1-\xi} \quad (\xi < 1) \end{aligned}$$

• Including the virtual graph , use $\int_a^1 \frac{d\xi g(\xi)}{(1-\xi)_+} = \int_a^1 \frac{d\xi g(\xi)}{1-\xi} - g(1) \int_0^1 \frac{d\xi}{1-\xi}$

$$\frac{\alpha_{s}C_{F}}{2\pi} \left[\int_{x}^{1} \frac{d\xi}{\xi} q(x/\xi) \frac{1+\xi^{2}}{1-\xi} - q(x) \int_{0}^{1} d\xi \frac{1+\xi^{2}}{1-\xi} \right]$$

$$= \frac{\alpha_{s}C_{F}}{2\pi} \left[\int_{x}^{1} \frac{d\xi}{\xi} q(x/\xi) \frac{1+\xi^{2}}{(1-\xi)_{+}} - q(x) \underbrace{\int_{0}^{1} d\xi \frac{1+\xi^{2}}{(1-\xi)_{+}}}_{\xi = \xi + \frac{1}{2}} \right] \cdot PENNSIATE$$

Derivation of $\mathcal{P}_{qq}^0(\xi)$

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The real contribution:

$$k_{1} = (P^{+}, 0, 0_{\perp}) \quad ; \quad k_{2} = (\xi P^{+}, \frac{k_{\perp}^{2}}{\xi P^{+}}, k_{\perp})$$
$$k_{3} = ((1 - \xi)P^{+}, \frac{k_{\perp}^{2}}{(1 - \xi)P^{+}}, -k_{\perp}) \quad \epsilon_{3} = (0, -\frac{2k_{\perp} \cdot \epsilon_{\perp}^{(3)}}{(1 - \xi)P^{+}}, \epsilon_{\perp}^{(3)})$$

$$|V_{q \to qg}|^{2} = \frac{1}{2} \operatorname{Tr} \left(\not{k}_{2} \gamma_{\mu} \not{k}_{1} \gamma_{\nu} \right) \sum \epsilon_{3}^{*\mu} \epsilon_{3}^{\nu} = \frac{2k_{\perp}^{2}}{\xi(1-\xi)} \frac{1+\xi^{2}}{1-\xi}$$

$$\Rightarrow \qquad \mathcal{P}_{qq}(\xi) = \frac{1+\xi^{2}}{1-\xi} \quad (\xi < 1)$$

• Regularize $\frac{1}{1-\xi}$ to $\frac{1}{(1-\xi)_+}$ by including the divergence from the virtual graph.

• Probability conservation:

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$$\begin{split} P_{qq} + \mathrm{d}P_{qq} &= \delta(1-\xi) + \frac{\alpha_s C_F}{2\pi} \mathcal{P}^0_{qq}(\xi) \mathrm{d}t \quad \text{and} \quad \int_0^1 \mathrm{d}\xi \mathcal{P}_{qq}(\xi) = 0, \\ &\Rightarrow \mathcal{P}_{qq}(\xi) = \frac{1+\xi^2}{(1-\xi)_+} + \frac{3}{2}\delta(1-\xi) = \left(\frac{1+\xi^2}{1-\xi}\right). \end{split}$$

Derivation of $\mathcal{P}_{gg}^0(\xi)$

$$k_{1} = (P^{+}, 0, 0_{\perp}) \quad \epsilon_{1} = (0, 0, \epsilon_{\perp}^{(1)}) \quad \text{with} \quad \epsilon_{\perp}^{\pm} = \frac{1}{\sqrt{2}} (1, \pm i)$$

$$k_{2} = (\xi P^{+}, \frac{k_{\perp}^{2}}{\xi P^{+}}, k_{\perp}) \quad \epsilon_{2} = (0, \frac{2k_{\perp} \cdot \epsilon_{\perp}^{(2)}}{\xi P^{+}}, \epsilon_{\perp}^{(2)})$$

$$k_{3} = ((1 - \xi)P^{+}, \frac{k_{\perp}^{2}}{(1 - \xi)P^{+}}, -k_{\perp}) \quad \epsilon_{3} = (0, -\frac{2k_{\perp} \cdot \epsilon_{\perp}^{(3)}}{(1 - \xi)P^{+}}, \epsilon_{\perp}^{(3)})$$

$$V_{g \to gg} = (k_{1} + k_{3}) \cdot \epsilon_{2}\epsilon_{1} \cdot \epsilon_{3} + (k_{2} - k_{3}) \cdot \epsilon_{1}\epsilon_{2} \cdot \epsilon_{3} - (k_{1} + k_{2}) \cdot \epsilon_{3}\epsilon_{1} \cdot \epsilon_{2}$$

$$\Rightarrow \quad |V_{g \to gg}|^{2} = |V_{+++}|^{2} + |V_{+-+}|^{2} + |V_{++-}|^{2} = 4k_{\perp}^{2} \frac{[1 - \xi(1 - \xi)]^{2}}{\xi^{2}(1 - \xi)^{2}}$$

$$\Rightarrow \quad \mathcal{P}_{gg}(\xi) = 2 \left[\frac{1 - \xi}{\xi} + \frac{\xi}{1 - \xi} + \xi(1 - \xi) \right] \quad (\xi < 1)$$

- Regularize $\frac{1}{1-\xi}$ to $\frac{1}{(1-\xi)_+}$
- Momentum conservation:

$$\int_{0}^{1} \mathrm{d}\xi \,\xi \left[\mathcal{P}_{qq}(\xi) + \mathcal{P}_{gq}(\xi)\right] = 0 \quad \int_{0}^{1} \mathrm{d}\xi \,\xi \left[2\mathcal{P}_{qg}(\xi) + \mathcal{P}_{gg}(\xi)\right] = 0, \quad \text{PENNSTATE}$$

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 \Rightarrow the terms which is proportional to $\delta(1-\xi)$.

• HW: derive other splitting functions.

DGLAP equation

In the leading logarithmic approximation with $t = \ln \mu^2$, the parton distribution and fragmentation functions follow the DGLAP[Dokshitzer, Gribov, Lipatov, Altarelli, Parisi, 1972-1977] evolution equation as follows:

$$\frac{\mathrm{d}}{\mathrm{d}t}\left[\begin{array}{c}q\left(x,\mu\right)\\g\left(x,\mu\right)\end{array}\right] = \frac{\alpha\left(\mu\right)}{2\pi}\int_{x}^{1}\frac{\mathrm{d}\xi}{\xi}\left[\begin{array}{cc}C_{F}P_{qq}\left(\xi\right)\\C_{F}P_{gq}\left(\xi\right)\\N_{c}P_{gg}\left(\xi\right)\end{array}\right]\left[\begin{array}{c}q\left(x/\xi,\mu\right)\\g\left(x/\xi,\mu\right)\end{array}\right],$$

and

$$\frac{\mathrm{d}}{\mathrm{d}t} \left[\begin{array}{c} D_{h/q}\left(z,\mu\right) \\ D_{h/g}\left(z,\mu\right) \end{array} \right] = \frac{\alpha\left(\mu\right)}{2\pi} \int_{z}^{1} \frac{\mathrm{d}\xi}{\xi} \left[\begin{array}{c} C_{F}P_{qq}\left(\xi\right) & C_{F}P_{gq}\left(\xi\right) \\ T_{R}P_{qg}\left(\xi\right) & N_{c}P_{gg}\left(\xi\right) \end{array} \right] \left[\begin{array}{c} D_{h/q}\left(z/\xi,\mu\right) \\ D_{h/g}\left(z/\xi,\mu\right) \end{array} \right],$$

Comments:

• In the double asymptotic limit, $Q^2 \to \infty$ and $x \to 0$, the gluon distribution can be solved analytically and cast into

$$\begin{aligned} xg(x,\mu^2) &\simeq exp\left(2\sqrt{\frac{\alpha_s N_c}{\pi}\ln\frac{1}{x}\ln\frac{\mu^2}{\mu_0^2}}\right) & \text{Fixed coupling} \\ xg(x,\mu^2) &\simeq exp\left(2\sqrt{\frac{N_c}{\pi b}\ln\frac{1}{x}\ln\frac{\ln\mu^2/\Lambda^2}{\ln\mu_0^2/\Lambda^2}}\right) & \text{Running couplingPENNSTATE} \end{aligned}$$

• The full DGLAP equation can be solved numerically.

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Collinear Factorization at NLO



Use $\overline{\text{MS}}$ scheme $(\frac{1}{\epsilon} = \frac{1}{\epsilon} + \ln 4\pi - \gamma_E)$ and dimensional regularization, DGLAP equation reads

$$\begin{bmatrix} q(x,\mu) \\ g(x,\mu) \end{bmatrix} = \begin{bmatrix} q^{(0)}(x) \\ g^{(0)}(x) \end{bmatrix} - \frac{1}{\hat{\epsilon}} \frac{\alpha(\mu)}{2\pi} \int_x^1 \frac{d\xi}{\xi} \begin{bmatrix} C_F P_{qq}(\xi) & T_R P_{qg}(\xi) \\ C_F P_{gq}(\xi) & N_c P_{gg}(\xi) \end{bmatrix} \begin{bmatrix} q(x/\xi) \\ g(x/\xi) \end{bmatrix},$$

and

$$\begin{bmatrix} D_{h/q}(z,\mu) \\ D_{h/g}(z,\mu) \end{bmatrix} = \begin{bmatrix} D_{h/q}^{(0)}(z) \\ D_{h/g}^{(0)}(z) \end{bmatrix} - \frac{1}{\hat{\epsilon}} \frac{\alpha(\mu)}{2\pi} \int_{z}^{1} \frac{d\xi}{\xi} \begin{bmatrix} C_{F}P_{qq}(\xi) & C_{F}P_{gq}(\xi) \\ T_{R}P_{qg}(\xi) & N_{c}P_{gg}(\xi) \end{bmatrix} \begin{bmatrix} D_{h/q}(z/\xi) \\ D_{h/g}(z/\xi) \end{bmatrix}$$

- Soft divergence cancels between real and virtual diagrams;
- Gluon collinear to the initial state quark ⇒ parton distribution function; Gluon collinear to the final state quark ⇒ fragmentation function. KLN theorem does not apply.
- Other kinematical region of the radiated gluon contributes to the NLO ($\mathcal{O}(\alpha_s)$ correction) hard factor.

DGLAP evolution



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DGLAP evolution



- NLO DGLAP fit yields negative gluon distribution at low Q^2 and low x.
- Does this mean there is no gluons in that region? No



Phase diagram in QCD



- Low Q^2 and low x region \Rightarrow saturation region.
- Use BFKL equation and BK equation instead of DGLAP equation.
- BK equation is the non-linear small-*x* evolution equation which describes the saturation physics.

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Collinear Factorization vs k_{\perp} Factorization

Collinear Factorization



 k_{\perp} Factorization(Spin physics and saturation physics)



- The incoming partons carry no k_{\perp} in the Collinear Factorization.
- In general, there is intrinsic k_{\perp} . It can be negligible for partons in protons, but should be taken into account for the case of nucleus target with large number of nucleons $(A \rightarrow \infty)$.
- k_{\perp} Factorization: High energy evolution with k_{\perp} fixed.
- Initial and final state interactions yield different gauge links. (Process dependent)
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- In collinear factorization, gauge links all disappear in the light cone gauge, and PDFs are univer
- Other approaches, such as nuclear modification and higher twist approach. (See last year's lecture.)

k_t dependent parton distributions

The unintegrated quark distribution

$$f_q(x,k_{\perp}) = \int \frac{\mathrm{d}\xi^- \mathrm{d}^2 \xi_{\perp}}{4\pi (2\pi)^2} e^{ixP^+ \xi^- + i\xi_{\perp} \cdot k_{\perp}} \langle P \left| \bar{\psi}(0) \mathcal{L}^{\dagger}(0) \gamma^+ \mathcal{L}(\xi^-,\xi_{\perp}) \psi(\xi_{\perp},\xi^-) \right| P \rangle$$

as compared to the integrated quark distribution

$$f_q(x) = \int \frac{\mathrm{d}\xi^-}{4\pi} e^{ixP^+\xi^-} \langle P \left| \bar{\psi}(0)\gamma^+ \mathcal{L}(\xi^-)\psi(0,\xi^-) \right| P \rangle$$

- The dependence of ξ_{\perp} in the definition.
- Gauge invariant definition.
- Light-cone gauge together with proper boundary condition ⇒ parton density interpretation.
- The gauge links come from the resummation of multiple gluon interactions.
- Gauge links may vary among different processes.



TMD factorization

One-loop factorization:



For gluon with momentum k

- *k* is collinear to initial quark \Rightarrow parton distribution function;
- *k* is collinear to the final state quark \Rightarrow fragmentation function.
- *k* is soft divergence (sometimes called rapidity divergence) ⇒ Wilson lines (Soft factor) or small-*x* evolution for gluon distribution.
- Other kinematical region of the radiated gluon contributes to the NLO ($\mathcal{O}(\alpha_s)$ correction) hard factor.
- See new development in Collins' book.

Deep into low-x region of Protons



- Gluon splitting functions $(\mathcal{P}_{qq}^0(\xi) \text{ and } \mathcal{P}_{gg}^0(\xi))$ have $1/(1-\xi)$ singularities.
- Partons in the low-x region is dominated by gluons.
- Resummation of the $\alpha_s \ln \frac{1}{x}$.

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Dual Descriptions of Deep Inelastic Scattering

[A. Mueller, 01; Parton Saturation-An Overview]



Bjorken frame

Dipole frame

Bjorken frame

$$F_2(x, Q^2) = \sum_q e_q^2 x \left[f_q(x, Q^2) + f_{\bar{q}}(x, Q^2) \right].$$

Dipole frame

$$F_{2}(x,Q^{2}) = \sum_{f} e_{f}^{2} \frac{Q^{2}}{4\pi^{2} \alpha_{\text{em}}} \int_{0}^{1} dz \int d^{2}x_{\perp} d^{2}y_{\perp} \left[\left| \psi_{T}(z,r_{\perp},Q) \right|^{2} + \left| \psi_{L}(z,r_{\perp},Q) \right|^{2} \right] \times \left[1 - S(r_{\perp}) \right], \quad \text{with} \quad r_{\perp} = x_{\perp} - y_{\perp}.$$

- Bjorken: the partonic picture of a hadron is manifest. Saturation shows up as a limit on the occupation number of quarks and gluons.
- Dipole: the partonic picture is no longer manifest. Saturation appears as the unitarity limit for scattering. Convenient to resum the multiple gluon interactions.

BFKL evolution

[Balitsky, Fadin, Kuraev, Lipatov;74] The infrared sensitivity of Bremsstrahlung favors the emission of small-x gluons:



Probability of emission:

$$dp \sim \alpha_s N_c \frac{dk_z}{k_z} = \alpha_s N_c \frac{dx}{x}$$

In small-x limit and Leading log approximation:

$$p \sim \sum_{n=0}^{\infty} \alpha_s^n N_c^n \int_x^1 \frac{dx_n}{x_n} \cdots \int_{x_2}^1 \frac{dx_1}{x_1} \sim \exp\left(\alpha_s N_c \ln \frac{1}{x}\right)$$

• Exponential growth of the amplitude as function of rapidity;

• As compared to DGLAP which resums $\alpha_s N_c \ln \frac{1}{x} \ln \frac{\mu^2}{\mu_0^2}$.

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Derivation of BFKL evolution

Dipole model. [Mueller, 94]

Consider a Bremsstrahlung emission of soft gluon $z_g \ll 1$,



and use LC gauge $\epsilon = (\epsilon^+ = 0, \epsilon^- = \frac{\epsilon_{\perp} \cdot k_{\perp}}{k^+}, \epsilon_{\perp}^{\pm})$

$$\mathcal{M}(k_{\perp}) = -2igT^{a}\frac{\epsilon_{\perp}\cdot k_{\perp}}{k_{\perp}^{2}}$$

- $q \rightarrow qg$ vertex and Energy denominator.
- Take the limit $k_g^+ \to 0$.
- Similar to the derivation of $\mathcal{P}_{qq}(\xi)$.



The dipole splitting kernal

The Bremsstrahlung amplitude in the coordinate space



$$\mathcal{M}(x_{\perp}-z_{\perp})=\int\mathrm{d}^{2}k_{\perp}e^{ik_{\perp}\cdot(x_{\perp}-z_{\perp})}\mathcal{M}(k_{\perp})$$

$$Use \int d^{2}k_{\perp} \frac{\epsilon_{\perp} \cdot k_{\perp}}{k_{\perp}^{2}} e^{ik_{\perp} \cdot b_{\perp}} = 2\pi i \frac{\epsilon_{\perp} \cdot b_{\perp}}{b_{\perp}^{2}},$$

$$\Rightarrow \qquad \mathcal{M}(x_{\perp} - z_{\perp}) = 4\pi g T^{a} \frac{\epsilon_{\perp} \cdot (x_{\perp} - z_{\perp})}{(x_{\perp} - z_{\perp})^{2}}$$

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The dipole splitting kernal

Consider soft gluon emission from a color dipole in the coordinate space (x_{\perp}, y_{\perp})



• The probability of dipole splitting at large N_c limit

$$dP_{\text{splitting}} = \frac{\alpha_s N_c}{2\pi^2} \frac{(x_\perp - y_\perp)^2}{(x_\perp - z_\perp)^2 (x_\perp - z_\perp)^2} d^2 z_\perp dY \quad \text{with} \quad dY = \frac{dk_g^+}{k_g^+} \underbrace{\mathsf{PENNSTATE}}_{\text{ENNSTATE}}$$

• Gluon splitting \Leftrightarrow Dipole splitting.

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BFKL evolution in Mueller's dipole model

[Mueller; 94] In large N_c limit, BFKL evolution can be viewed as dipole branching in a fast moving $q\bar{q}$ dipole in coordinate space:



n(r, Y) dipoles of size r.

BFKL Pomeron

The T matrix ($T \equiv 1 - S$ with S being the scattering matrix) basically just counts the number of dipoles of a given size,

$$T(r, Y) \sim \alpha_s^2 n(r, Y)$$

- The probability of emission is $\bar{\alpha}_s \frac{(x-y)^2}{(x-z)^2(z-y)^2}$;
- Assume independent emissions with large separation in rapidity.

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BFKL equation

Consider a slight change in rapidity and the Bremsstrahlung emission of soft gluon (dipole splitting)



 $\partial_Y T(x, y; Y) = \frac{\bar{\alpha}_s}{2\pi} \int d^2 z \frac{(x-y)^2}{(x-z)^2 (z-y)^2} \left[T(x, z; Y) + T(z, y; Y) - T(x, y; Y) \right]$



Kovchegov equation

[Kovchegov; 99] [Mueller; 01] Including non-linear effects: $(T \equiv 1 - S)$



$$\partial_{Y}S(x-y;Y) = \frac{\alpha N_{c}}{2\pi^{2}} \int d^{2}z \frac{(x-y)^{2}}{(x-z)^{2}(z-y)^{2}} \left[S(x-z;Y)S(z-y;Y) - S(x-y;Y) \right]$$

$$\partial_{Y}T(x-y;Y) = \frac{\alpha N_{c}}{2\pi^{2}} \int d^{2}z \frac{(x-y)^{2}}{(x-z)^{2}(z-y)^{2}}$$

$$\times \left[T(x-z;Y) + T(z-y;Y) - T(x-y;Y) - \underbrace{T(x-z;Y)T(z-y;Y)}_{saturation} \right]$$

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• Linear BFKL evolution results in fast energy evolution.

• Non-linear term \Rightarrow fixed point (T = 1) and unitarization, and thus saturation.

Phase diagram in QCD



- Low Q^2 and low x region \Rightarrow saturation region.
- Balitsky-Kovchegov equation is the non-linear small-*x* evolution equation which describes the saturation physics.

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Balitsky-Kovchegov equation vs F-KPP equation

[Munier, Peschanski, 03] Consider the case with fixed impact parameter, namely, T_{xy} is only function of r = x - y. Then, transforming the B-K equation into momentum space:

BK equation:
$$\partial_Y T = \bar{\alpha} \chi_{\text{BFKL}}(-\partial_\rho)T - \bar{\alpha}T^2$$
 with $\bar{\alpha} = \frac{\alpha N_c}{\pi}$
Diffusion approximation \Rightarrow

F-KPP equation:
$$\partial_t u(x,t) = \partial_x^2 u(x,t) + u(x,t) - u^2(x,t)$$

- $u \Rightarrow T, \bar{\alpha}Y \Rightarrow t, \varrho = \log(k^2/k_0^2) \Rightarrow x$, with k_0 being the reference scale;
- B-K equation lies in the same universality class as the F-KPP [Fisher-Kolmogrov-Petrovsky-Piscounov; 1937] equation.
- F-KPP equation admits traveling wave solution u = u (x vt) with minimum velocity;

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• the non-linear term saturates the solution in the infrared.

Balitsky-Kovchegov equation vs F-KPP equation

BK equation: $\partial_Y T = \bar{\alpha} \chi_{\text{BFKL}} (-\partial_{\varrho}) T - \bar{\alpha} T^2$

The linear part of its solution $T_{lin}(k, Y)$ is a superposition of waves:

$$T_{\rm lin}(k,Y) = \int_{c-i\infty}^{c+i\infty} \frac{d\gamma}{2i\pi} \exp\left[-\gamma \left(\varrho - \bar{\alpha}\nu(\gamma)Y\right)\right] T_0(\gamma) \stackrel{^3}{\underset{0}{\overset{2}{\underset{1}{\overset{1}{}}}}$$



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- $T_0(\gamma)$: the initial condition,
- Each wave has a different speed $v(\gamma)$ given by $v(\gamma) = \frac{\chi(\gamma)}{\gamma}$ with $\chi(\gamma) = \psi(1) \frac{1}{2}\psi(\gamma) \frac{1}{2}\psi(1-\gamma)$ and $\psi(\gamma) = \frac{d}{d\gamma}\log[\Gamma(\gamma)]$ being the digamma function.
- [Mueller, Triantafyllopoulos; 02]Using saddle point approximation, and requiring exponent vanishes at the saddle point. one gets $\gamma_c = 0.63$. This corresponds to an anomalous dimension 0.37.
- The wave speed $v(\gamma)$ is minimized at $\gamma_c = 0.63$. γ_c is selected by exponential growth and saturation.

Geometrical scaling

Geometrical scaling in DIS:

$$T(r, Y) = T\left[r^{2}Q_{s}^{2}(Y)\right]$$

= $\left[r^{2}Q_{s}^{2}(Y)\right]^{\gamma_{c}} \underbrace{\exp\left[-\frac{\log^{2}\left(r^{2}Q_{s}^{2}(Y)\right)}{2\chi''(\gamma_{c})\,\bar{\alpha}Y}\right]}_{\text{Scaling window}}$

- All data of $\sigma_{tot}^{\gamma^* p}$ when $x \le 0.01$ and $\frac{1}{r^2} = Q^2 \le 450 GeV^2$ plotting as function of $\tau = Q^2/Q_s^2$ falls on a curve, where $Q_s^2 = \left(\frac{x_0}{x}\right)^{0.29} GeV^2$ with $x_0 = 3 \times 10^{-4}$;
- scaling window: $|\log (r^2 Q_s^2(Y))| \ll \sqrt{2\chi''(\gamma_c) \bar{\alpha} Y}.$



McLerran-Venugopalan Model

In QCD, the McLerran-Venugopalan Model describes high density gluon distribution in a relativistic large nucleus ($A \gg 1$) by solving the classical Yang-Mills equation:

$$[D_{\mu}, F^{\mu\nu}] = gJ^{\nu} \quad \text{with} \quad J^{\nu} = \delta^{\nu+} \rho_a(x^-, x_{\perp})T^a, \quad \text{COV gauge} \Rightarrow - \bigtriangledown_{\perp}^2 A^+ = g\rho.$$

To solve the above equation, we define the Green's function

$$\nabla_{z_{\perp}}^2 G(x_{\perp} - z_{\perp}) = \delta^{(2)}(x_{\perp} - z_{\perp}) \quad \Rightarrow \quad G(x_{\perp} - z_{\perp}) = -\int \frac{\mathrm{d}^2 k_{\perp}}{(2\pi)^2} \frac{e^{ik_{\perp} \cdot (x_{\perp} - z_{\perp})}}{k_{\perp}^2}$$

MV model assumes that the density of color charges follows a Gaussian distribution

$$W[\rho] = \exp\left[-\int \mathrm{d}z^{-}\mathrm{d}^{2}z_{\perp}\frac{\rho_{a}(z^{-},z_{\perp})\rho_{a}(z^{-},z_{\perp})}{2\mu^{2}(z^{-})}\right]$$

With such a weight, average of two color sources is

$$\langle \rho_a \rho_b \rangle = \int \mathcal{D}[\rho] W[\rho] \rho_a(x^-, x_\perp) \rho_b(y^-, y_\perp) = \mu^2(x^-) \delta_{ab} \delta(x^- - y^-) \delta(x_\perp - y_\perp).$$
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Dipole amplitude in MV model

The Wilson line [F. Gelis, A. Peshier, 01]

$$U(x_{\perp}) = \mathcal{P} \exp\left[-ig^2 \int dz^- d^2 z_{\perp} G\left(x_{\perp} - z_{\perp}\right) \rho\left(z^-, z_{\perp}\right)\right]$$



Use gaussian approximation to pair color charges:



• Quadrupoles $\frac{1}{N_c} \operatorname{Tr} U_1 U_2^{\dagger} U_3 U_4^{\dagger}$ and Sextupoles $\frac{1}{N_c} \operatorname{Tr} U_1 U_2^{\dagger} U_3 U_4^{\dagger} U_5 U_6^{\dagger} \dots$

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Golec-Biernat Wusthoff model and Geometrical Scaling

[Golec-Biernat, Wusthoff,; 98], [Golec-Biernat, Stasto, Kwiecinski; 01]



• The dipole amplitude in the GBW model

$$S_{q\bar{q}}(r_{\perp}) = \exp\left[-\frac{Q_s^2 r_{\perp}^2}{4}\right]$$

with $Q_s^2(x) = Q_{s0}^2 (x_0/x)^{\lambda}$ where $Q_{s0} = 1$ GeV, $x = 3.04 \times 10^{-3}$ and $\lambda = 0.288$.

Kt Factorization "expectation"

Consider the inclusive production of two high-transverse-momentum back-to-back particles in hadron-hadron collisions, i.e., in the process:



The standard k_t factorization "expectation" is:

$$E_3 E_4 \frac{\mathrm{d}\sigma}{\mathrm{d}^3 p_3 \mathrm{d}^3 p_4} = \sum \int \mathrm{d}\hat{\sigma}_{i+j \to k+l+X} f_{i/1} f_{j/2} d_{3/k} d_{4/l} + \cdots$$

- Convolution of $d\hat{\sigma}$ with $f(x, k_{\perp})$ and d(z).
- Factorization ⇔ Factorization formula + Universality
- Only Drell-Yan process is proved for factorization in hadron-hadron collisions. [Bodwin; 85, 86], [Collins, Soper, Sterman; 85, 88].

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Breaking down of the k_t factorization in di-hadron production

- [Bacchetta, Bomhof, Mulders and Pijlman; 04-06] Wilson lines approach Studies of Wilson-line operators show that the TMD parton distributions are not generally process-independent due to the complicated combinantion of initial and final state interactions. TMD PDFs admit process dependent Wilson lines.
- [Collins, Qiu; 07], [Collins; 07], [Vogelsang, Yuan; 07] and [Rogers, Mulders; 10] Scalar QED models and its generalization to QCD (Counterexample to Factorization)



- $\mathcal{O}(g^2)$ calculation shows non-vanishing anomalous terms with respect to standard factorization.
- Remarks: k_t factorization is violated in di-jet production; TMD parton distributions are non-universal.
- Things get worse: For pp and AA collisions, no factorization formula at all for dijet production.

Why is the di-jet production process special?

Initial state interactions and/or final state interactions



• In Drell-Yan process, there are only initial state interactions.

$$\int_{-\infty}^{+\infty} \mathrm{d}k_g^+ \frac{i}{-k_g^+ - i\epsilon} A^+(k_g) = \int_0^{-\infty} \mathrm{d}\zeta^- A^+(\zeta^-)$$

Eikonal approximation \implies gauge links.

• In DIS, there are only final state interactions.

$$\int_{-\infty}^{+\infty} \mathrm{d}k_g^+ \frac{i}{-k_g^+ + i\epsilon} A^+(k_g) = \int_0^{+\infty} \mathrm{d}\zeta^- A^+(\zeta^-)$$

Eikonal approximation \implies gauge links.

• However, there are both initial state interactions and final state interactions in the di-jet process.

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Forward observables at pA collisions



Why pA collisions?

• For *pA* (dilute-dense system) collisions, there is an effective k_t factorization.

$$\frac{d\sigma^{pA\to qfX}}{d^2P_{\perp}d^2q_{\perp}dy_1dy_2} = x_pq(x_p,\mu^2)x_Af(x_A,q_{\perp}^2)\frac{1}{\pi}\frac{\mathrm{d}\hat{\sigma}}{\mathrm{d}\hat{t}}.$$

• For dijet processes in pp, AA collisions, there is no *k_t* factorization[Collins, Qiu, 08],[Rogers, Mulders; 10].

Why forward?

- At forward rapidity $y, x_p \propto e^y$ is large, while $x_A \propto e^{-y}$ is small.
- Ideal place to find gluon saturation in the target nucleus.

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In small-x physics, two gluon distributions are widely used: [Kharzeev, Kovchegov, Tuchin; 03] I. Weizsäcker Williams gluon distribution ([KM, 98'] and MV model):

$$\begin{aligned} \mathbf{x}G^{(1)} &= \frac{S_{\perp}}{\pi^2 \alpha_s} \frac{N_c^2 - 1}{N_c} \\ &\times \int \frac{d^2 r_{\perp}}{(2\pi)^2} \frac{e^{-ik_{\perp} \cdot r_{\perp}}}{r_{\perp}^2} \left(1 - e^{-\frac{r_{\perp}^2 Q_{sg}^2}{2}} \right) \end{aligned}$$



II. Color Dipole gluon distributions:



Remarks:

- The WW gluon distribution simply counts the number of gluons.
- The Color Dipole gluon distribution often appears in calculations.
- Does this mean that gluon distributions are non-universal? Answer: Yes and No!

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[F. Dominguez, C. Marquet, BX and F. Yuan, 11] I. Weizsäcker Williams gluon distribution

$$xG^{(1)} = \frac{S_{\perp}}{\pi^2 \alpha_s} \frac{N_c^2 - 1}{N_c} \Leftrightarrow$$

$$\times \int \frac{d^2 r_{\perp}}{(2\pi)^2} \frac{e^{-ik_{\perp} \cdot r_{\perp}}}{r_{\perp}^2} \left(1 - e^{-\frac{r_{\perp}^2 Q_s^2}{2}}\right)$$

II. Color Dipole gluon distributions:



In terms of operators (known from TMD factorization), we find these two gluon distributions can be defined as follows: [F. Dominguez, C. Marquet, BX and F. Yuan, 11] I. Weizsäcker Williams gluon distribution:

$$xG^{(1)} = 2 \int \frac{d\xi^{-} d\xi_{\perp}}{(2\pi)^{3} P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \operatorname{Tr} \langle P | F^{+i}(\xi^{-}, \xi_{\perp}) \mathcal{U}^{[+]\dagger} F^{+i}(0) \mathcal{U}^{[+]} | P \rangle.$$

II. Color Dipole gluon distributions:



Remarks:

- The WW gluon distribution is the conventional gluon distributions. In light-cone gauge, it is the gluon density. (Only final state interactions.)
- The dipole gluon distribution has no such interpretation. (Initial and final state PENNSTATE interactions.)

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- Both definitions are gauge invariant.
- Same after integrating over q_{\perp} .

In terms of operators, we find these two gluon distributions can be defined as follows: [F. Dominguez, C. Marquet, BX and F. Yuan, 11] I. Weizsäcker Williams gluon distribution:

$$xG^{(1)} = 2\int \frac{d\xi^{-}d\xi_{\perp}}{(2\pi)^{3}P^{+}}e^{ixP^{+}\xi^{-}-ik_{\perp}\cdot\xi_{\perp}}\operatorname{Tr}\langle P|F^{+i}(\xi^{-},\xi_{\perp})\mathcal{U}^{[+]\dagger}F^{+i}(0)\mathcal{U}^{[+]}|P\rangle.$$

II. Color Dipole gluon distributions:

$$xG^{(2)} = 2 \int \frac{d\xi^{-}d\xi_{\perp}}{(2\pi)^{3}P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp}\cdot\xi_{\perp}} \operatorname{Tr} \langle P|F^{+i}(\xi^{-},\xi_{\perp})\mathcal{U}^{[-]\dagger}F^{+i}(0)\mathcal{U}^{[+]}|P\rangle.$$

Questions:

- Can we distinguish these two gluon distributions? Yes, We Can.
- How to measure $xG^{(1)}$ directly? DIS dijet.
- How to measure $xG^{(2)}$ directly? Direct γ +Jet in *pA* collisions. For single-inclusive particle production in *pA* up to all order.
- What happens in gluon+jet production in pA collisions? It's complicated!

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DIS dijet

[F. Dominguez, C. Marquet, BX and F. Yuan, 11]



- Eikonal approximation ⇒ Wilson Line approach [Kovner, Wiedemann, 01].
- In the dijet correlation limit, where $u = x b \ll v = zx + (1 z)b$
- $S_{x_g}^{(4)}(x,b;b',x') = \frac{1}{N_c} \left\langle \operatorname{Tr} U(x) U^{\dagger}(x') U(b') U^{\dagger}(b) \right\rangle_{x_o} \neq S_{x_g}^{(2)}(x,b) S_{x_g}^{(2)}(b',x')$
- Quadrupoles are generically different objects and only appear in dijet processes.

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DIS dijet

The dijet production in DIS.



TMD factorization approach:

$$\frac{d\sigma^{\gamma_T^*A \to q\bar{q}+X}}{d\mathcal{P}.\mathcal{S}.} = \delta(x_{\gamma^*} - 1) x_g G^{(1)}(x_g, q_\perp) H_{\gamma_T^*g \to q\bar{q}}$$

Remarks:

- Dijet in DIS is the only physical process which can measure Weizsäcker Williams gluon distributions.
- Golden measurement for the Weizsäcker Williams gluon distributions of nuclei at small-x. The cross section is directly related to the WW gluon distribution.
- EIC and LHeC will provide us a perfect machine to study the strong gluon fields in nuclei. Important part in EIC and LHeC physics.

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γ +Jet in *pA* collisions

The direct photon + jet production in *pA* collisions. (Drell-Yan follows the same factorization.) TMD factorization approach:

$$rac{d\sigma^{(pA o \gamma q+X)}}{d\mathcal{P}.\mathcal{S}.} = \sum_f x_1 q(x_1,\mu^2) x_g G^{(2)}(x_g,q_\perp) H_{qg o \gamma q}.$$

Remarks:

- Independent CGC calculation gives the identical result in the correlation limit.
- Direct measurement of the Color Dipole gluon distribution.



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DY correlations in pA collisions

[Stasto, BX, Zaslavsky, 12]



M = 0.5, 4GeV, Y = 2.5 at RHIC dAu. M = 4, 8GeV, Y = 4 at LHC pPb.

- Partonic cross section vanishes at $\pi \Rightarrow \text{Dip at } \pi$.
- Prompt photon calculation [J. Jalilian-Marian, A. Rezaeian, 12]

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STAR measurement on di-hadron correlation in dA collisions



- There is no sign of suppression in the p + p and d + Au peripheral data. ۲
- The suppression and broadening of the away side jet in d + Au central collisions is due to ۲ the multiple interactions between partons and dense nuclear matter (CGC). PENNSTATE
- Probably the best evidence for saturation.

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First calculations on dijet production

Quark+Gluon channel [Marquet, 07] and [Albacete, Marquet, 10]



- Prediction of saturation physics.
- All the framework is correct, but over-simplified 4-point function.
- Improvement [F. Dominguez, C. Marquet, BX and F. Yuan, 11.]

$$S_{x_g}^{(4)}(x_1, x_2; x'_2, x'_1) \simeq e^{-\frac{C_F}{2} [\Gamma(x_1 - x_2) + \Gamma(x'_2 - x'_1)]} - \frac{F(x_1, x_2; x'_2, x'_1)}{F(x_1, x'_2; x_2, x'_1)} \left(e^{-\frac{C_F}{2} [\Gamma(x_1 - x_2) + \Gamma(x'_2 - x'_1)]} - e^{-\frac{C_F}{2} [\Gamma(x_1 - x'_1) + \Gamma(x'_2 - x_2)]} \right)$$

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Dijet processes in the large N_c limit

The Fierz identity:



Graphical representation of dijet processes



The Octupole and the Sextupole are suppressed.

Gluon+quark jets correlation

Including all the $qg \rightarrow qg$, $gg \rightarrow gg$ and $gg \rightarrow q\bar{q}$ channels, a lengthy calculation gives

$$\frac{d\sigma^{(pA \to \text{Dijet}+X)}}{d\mathcal{P}.\mathcal{S}.} = \sum_{q} x_1 q(x_1, \mu^2) \frac{\alpha_s^2}{\hat{s}^2} \left[\mathcal{F}_{qg}^{(1)} H_{qg}^{(1)} + \mathcal{F}_{qg}^{(2)} H_{qg}^{(2)} \right] + x_1 g(x_1, \mu^2) \frac{\alpha_s^2}{\hat{s}^2} \left[\mathcal{F}_{gg}^{(1)} \left(H_{gg \to q\bar{q}}^{(1)} + \frac{1}{2} H_{gg \to gg}^{(1)} \right) + \mathcal{F}_{gg}^{(2)} \left(H_{gg \to q\bar{q}}^{(2)} + \frac{1}{2} H_{gg \to gg}^{(2)} \right) + \mathcal{F}_{gg}^{(3)} \frac{1}{2} H_{gg \to gg}^{(3)} \right],$$

with the various gluon distributions defined as

$$\begin{aligned} \mathcal{F}_{qg}^{(1)} &= xG^{(2)}(x,q_{\perp}), \quad \mathcal{F}_{qg}^{(2)} = \int xG^{(1)} \otimes F , \\ \mathcal{F}_{gg}^{(1)} &= \int xG^{(2)} \otimes F, \quad \mathcal{F}_{gg}^{(2)} = -\int \frac{q_{1\perp} \cdot q_{2\perp}}{q_{1\perp}^2} xG^{(2)} \otimes F , \\ \mathcal{F}_{gg}^{(3)} &= \int xG^{(1)}(q_1) \otimes F \otimes F , \end{aligned}$$

where $F = \int \frac{d^2 r_{\perp}}{(2\pi)^2} e^{-iq_{\perp} \cdot r_{\perp}} \frac{1}{N_c} \left\langle \text{Tr}U(r_{\perp})U^{\dagger}(0) \right\rangle_{x_g}$. Remarks:

- Only the term in NavyBlue color was known before.
- This describes the dihadron correlation data measured at RHIC in forward *dAu* collisions.

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Illustration of gluon distributions

The various gluon distributions:



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Comparing to STAR and PHENIX data

Physics predicted by C. Marquet. Further calculated in [A. Stasto, BX, F. Yuan, 11] For away side peak in both peripheral and central *dAu* collisions

$$C(\Delta\phi) = \frac{\int_{|p_{\perp\perp}|, |p_{\perp\perp}|} \frac{d\sigma^{pA \to h_1h_2}}{dy_1 dy_2 d^2 p_{1\perp} d^2 p_{2\perp}}}{\int_{|p_{\perp\perp}|} \frac{d\sigma^{pA \to h_1}}{dy_1 d^2 p_{1\perp}}}$$
$$J_{dA} = \frac{1}{\langle N_{\text{coll}} \rangle} \frac{\sigma_{dA}^{\text{pair}} / \sigma_{dA}}{\sigma_{pp}^{\text{pair}} / \sigma_{pp}}$$



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- Using: $Q_{sA}^2 = c(b)A^{1/3}Q_s^2(x)$.
- Physical picture: Dense gluonic matter suppresses the away side peak.

Conclusion and Outlook

Conclusion:

- DIS dijet provides direct information of the WW gluon distributions. Perfect for testing CGC, and ideal measurement for EIC and LHeC.
- Modified Universality for Gluon Distributions:

	Inclusive	Single Inc	DIS dijet	γ +jet	g+jet
$xG^{(1)}$	×	×	\checkmark	×	\checkmark
$xG^{(2)}, F$	\checkmark	\checkmark	×	\checkmark	\checkmark

 $\times \Rightarrow$ Do Not Appear. $\checkmark \Rightarrow$ Apppear.

- Two fundamental gluon distributions. Other gluon distributions are just different combinations and convolutions of these two.
- The small-x evolution of the WW gluon distribution, a different equation from Balitsky-Kovchegov equation;[Dominguez, Mueller, Munier, Xiao, 11]
- Dihadron correlation calculation agrees with the RHIC STAR and PHENIX data.

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Outlook

[Dominguez, Marquet, Stasto, BX, in preparation] Use Fierz identity:



• The three-jet (same rapidity) production processes in the large N_c limit:



- In the large N_c limit at small-*x*, the dipole and quadrupole amplitudes are the only two fundamental objects in the cross section of multiple-jet production processes at any order in terms α_s .
- Other higher point functions, such as sextupoles, octupoles, decapoles and duodecapoles, etc. are suppressed by factors of $\frac{1}{N_{\perp}^2}$.

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